

CERTIFICATE OF MAILING BY "FIRST CLASS"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on January 150, 2004.

Marian Christopher

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Lee A. BULLA, Jr. et al.

Serial No.: 10/623,366

Filing Date: July 18, 2003

For: PECTINOPHORA GOSSYPIELLA (PINK

BOLLWORM) BACILLUS

THURINGIEŃSIS TOXIN RECEPTOR

BT-R₂

Examiner: Unassigned

Group Art Unit: 1645

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/696,115 filed 24 October 2000 now U.S. Patent No. 6,660,497, issued on 9 December 2003, and, accordingly, copies are not

included herewith. This protocol conforms with 3.7 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

	11115 1111	ionnation Disclosure Statement is submitted.			
	With	With the application; accordingly, no fee or separate requirements are required.			
Before the mailing of a first Office Action after the filing of a Request for Continue					
	Exam	Examination under § 1.114.			
Within three months of the application filing date or before mailing of a first Offi					
	Actio	Action on the merits; accordingly, no fee or separate requirements are required.			
	After	After receipt of a first Office Action on the merits but before mailing of a final Office			
	Actio	n or Notice of Allowance.			
		A fee is required. A check in the amount of is enclosed.			
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached			
		to this submission in duplicate.			
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee			
		is believed to be due.			
	After	After mailing of a final Office Action or Notice of Allowance, but before payment of the			
	issue	fee.			
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the			
		amount of is enclosed.			
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal			
		form (PTO/SB/17 is attached to this submission in duplicate.)			

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does

not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 524412000310. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 15, 2004 Respectfully submitted,

By: Cate 4. Neura

Kate H. Murashige

Reg. No. 29,959

Morrison & Foerster LLP

3811 Valley Centre Drive, Suite 500 San Diego, California 92130-2332

Telephone: (858) 720-5112 Facsimile: (858) 720-5125

PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE nd to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required

5

TRANSMIT	Ī	Ά	L
FORM			

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

	to respond to a conection of information unless it displays a valid ONB control had				
	Application Number	10/623,366			
	Filing Date	July 18, 2003			
	First Named Inventor	Lee A. BULLA, Jr.			
	Art Unit	.,1645			
	Examiner Name	Not Yet Assigned			
1	Attorney Docket Number	524412000310			

ENCLOSURES (Check all that apply)								
Fee Transr	nittal Form	Drawing(s)	After Allowance Communication to Group					
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences					
Amendmer	nt/Reply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		Petition to Convert to a Provisional Application	Proprietary Information					
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address	Status Letter					
Extension of Time Request		Terminal Disclaimer	X Other Enclosure(s) (please identify below):					
Express Abandonment Request		Request for Refund	Form PTO-1449 (1 pages) Return Receipt Postcard					
X Information Disclosure Statement (3 pages)		CD, Number of CD(s)	·					
Certified Copy of Priority Document(s)								
Response to Missing Parts/ Incomplete Application		Remarks						
Resp	onse to Missing Parts r 37 CFR 1.52 or 1.53	Customer No. 25225						
		·						
	SIGNATU	JRE OF APPLICANT, ATTORNEY, OF	RAGENT					
Firm or Individual name	MORRISON & FOERSTER LLP Kate H. Murashige - 29,959							
Signature Fatted Munady								
Date January <u>15</u> , 2004								

hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail,	
in envelope addressed to: Commissioner for Patents, P.Q. Box 1450, Alexandria, VA, 22313-1450, on the date shown below.	
on envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: January 5 2004 Signature: Warian I. Christophe	r)